



2662
3/25/03
H

Please type a plus sign (+) inside this box → ☐

PTO/SB/21 (08-00)
Approved for use through 10/31/2002. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TRANSMITTAL FORM <i>(to be used for all correspondence after initial filing)</i>	Application Number	10/084,414	
	Filing Date	February 27, 2002	
	First Named Inventor	Joseph A. Kwak	
	Group Art Unit	2662	
	Examiner Name	Not Yet Known	
Total Number of Pages in This Submission		Attorney Docket Number	I-2-0203.1US

ENCLOSURES (check all that apply)		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Supplemental Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Form PTO-1449, Copies of Two (2) PCT Search Reports and Copies of Ten (10) Cited References
Remarks		

RECEIVED

MAR 17 2003

Technology Center 2600

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT		
Firm or Individual name	FRANK A. MAZZEO Volpe and Koenig, P.C.	Reg. No. 46,259
Signature		
Date	March 11, 2003	

CERTIFICATE OF MAILING			
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: March 11, 2003			
Typed or printed name	FRANK A. MAZZEO		
Signature		Date	March 11, 2003

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the **PATENT APPLICATION** of:

Joseph A. Kwak

Application No.: 10/084,414

Confirmation No.: 8154

Filed: February 27, 2002

**For: BASE STATION IMPLEMENTING A
PHYSICAL LAYER AUTOMATIC REPEAT
REQUEST**

Group: 2662

Examiner: Not Yet Known

Our File: I-2-0203.1US

Date: March 11, 2003

RECEIVED

MAR 17 2003

Technology Center 2600

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT
INCLUDING RULE 1.97(e)(1) AND RULE 1.704(d) STATEMENTS**

Commissioner for Patents
Washington, D.C. 20231

Sir or Madam:

Further to Applicant's Duty of Disclosure pursuant to 37 C.F.R. §1.56, Applicant wishes to bring to the Examiner's attention the material cited on the enclosed Form PTO-1449, which was cited in two search reports in counterpart international applications. Copies of the cited references are enclosed along with copies of the search reports.

Pursuant to Rule 1.704(d), the undersigned certifies that each item of information contained in this Supplemental Information Disclosure Statement (SIDS) was cited in a communication from a foreign patent office in a counterpart application, and that this communication was not received by any individual designated in §1.56(c)


more than thirty (30) days prior to the filing of the information disclosure statement.

Although not required since no office action has issued yet in the instant case, Applicant hereby makes a statement under 37 C.F.R.1.97(e)(1): the undersigned certifies that each item of information contained in this SIDS was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this SIDS.

It is respectfully requested that the Examiner consider these documents and return an initialed copy of the Form PTO-1449 indicating his or her consideration of the cited materials.

Respectfully submitted,

Joseph A. Kwak

By 
Frank A. Mazzeo
Registration No. 46,259
(215) 568-6400

Volpe and Koenig, P.C.
Suite 400, One Penn Center
1617 John F. Kennedy Boulevard
Philadelphia, PA 19103

FAM/sag
Enclosures

FORM PTO-1449

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICEINFORMATION DISCLOSURE
STATEMENT BY APPLICANT

(Use several sheets if necessary)

ATTY. DOCKET NO.
I-2-0203.1USSERIAL NO.
10/084,414APPLICANT
Joseph A. KwakFILING DATE
February 27, 2002GROUP
2662

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER							DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AM	5	3	4	5	6	0	0	09/1994	Davidson			
	AN	5	6	4	8	9	6	9	07/1997	Pasternak et al.			
	AO	5	6	5	7	3	2	5	08/1997	Lou et al.			
	AP	5	9	4	6	3	2	0	08/1999	Decker			
	AQ	5	9	5	6	6	2	4	09/1999	Hunsinger et al.			
	AR	5	9	8	2	7	6	0	11/1999	Chen			
	AS	6	1	2	8	2	7	6	10/2000	Agee			
	AT	6	1	5	4	4	8	9	11/2000	Kleider et al.			
	AU	6	2	0	8	6	6	3	03/2001	Schramm et al.			
	AV	6	3	1	7	4	1	8	11/2001	Raitola et al.			

RECEIVED

MAR 17 2003

Technology Center 2600

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER							DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
													YES	NO

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.